

### IN THE

### UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

APPLICANT(S):

Yoram Nelken et al.

MAR 0 4 2004

SERIAL NO.:

09/754,179

Technology Center 2100

FILED:

January 3, 2001

TITLE:

System and Method for Electronic Communication

Management

**EXAMINER:** 

Meltin Bell

GROUP ART UNIT:

2121

ATTY.DKT.NO.:

**PA1438US** 

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

opalys (1984), housest fittamed



## RECEIVED

MAR 0 4 2004

**Technology Center 2100** 

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants hereby attach the \$180 fee required by 37 C.F.R. §1.17(p) with this submission. The Commissioner is hereby authorized to charge Deposit Account No. 06-0600 for any additional fees that may be required, or credit our account for any overpayment charge. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted, Yoram Nelken et al.

Dated: 18 Feb. 2004

Charles B. Katz, Reg. No. 36.564

Carr & Ferrell *LLP* 2200 Geng Road Palo Alto, CA 94303

TEL: (650) 812-3400 FAX: (650) 812-3444

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Dated: 18 Feb. 2004

Charles B. Katz

By:

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

TRADESE

Complete if Known Application Number 09/754,179 Filing Date January 3, 2001 First Named Inventor Yoram Nelken Art Unit MAR 0 4 20104 2121

(Use as many sheets as necessary)

**Examiner Name** Meltin Bell Technology Cepter 2100 Attorney Docket Number **PA1438US** Sheet

			U.S. PATENT [	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
······································	***************************************	us- <b>5,948,058</b>	09-07-1999	Kudoh et al.	
		<sup>US-</sup> 5,999,932	12-07-1999	Paul	
		<sup>US-</sup> 6,070,149	05-30-2000	Tavor et al.	
		us- 6,138,139	10-24-2000	Beck et al.	
		<sup>US-</sup> 6,161,130	12-12-2000	Horvitz et al.	
		<sup>US-</sup> 6,199,103 B1	03-06-2001	Sakaguchi et al.	
		us- 6,226,630 B1	05-01-2001	Billmers	
		us- 6,377,949 B1	04-23-2002	Gilmour	
		<sup>US-</sup> 6,424,997 B1	07-23-2002	Buskirk, Jr. et al.	
		<sup>US-</sup> 6,460,074 B1	10-01-2002	Fishkin	
		<sup>US-</sup> 6,493,694 B1	12-10-2002	Xu et al.	
		<sup>US-</sup> 6,496,853 B1	12-17-2002	Klein	
		US-			
		US-	,		
		US-			

	FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>					
				-							
	<b></b>		••••••••••••••••••••••••••••••••	••••••••••••••••	***************************************						
				••••••••••••••••	***************************************						
	************		•		***************************************						

		· · · · · · · · · · · · · · · · · · ·
Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (05-03 Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays Paperwork Reduction Act of 1995, no persons valid OMB control number. RADIFIED Application Number 09/754.179 **TRANSMITTAL** Filing Date January 3, 2001 **FORM** MAR 0 4 2004 First Named Inventor Yoram Nelken Art Unit 2121 (to be used for all correspondence after initial filing) Technology Center 2/100 Examiner Name Meltin Bell Attorney Docket Number **PA1438US** 9 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication X Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board X Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): 2) PTO/SB/08 (x2)

3) Duplicate of Supplemental IDS

4) Copies of 12 Cited References

5) Check for \$180.00 Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks oxed Total page number does not include postcard, check and references. Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name Carr & Ferrell LLP Cust. No. 22830 Signature Reg. No. 36,564 Date February 18, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Charles B. Katz, Reg. No. 36,564 February 18, 2004 Signature This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

RADE MADE

(\$)	180.00	
ιΨ/		

C	omplete if Knowi		١
Application Number	09/754,179	RECEIVE	$\Box$
Filing Date	January 3, 2001	TILOLIVE	ע
First Named Inventor	Yoram Nelken	MAR 0 4 2004	
Examiner Name	Meltin Bell	<b>-</b>	
Art Unit	2121	Technology Center	210
Attorney Docket No.	PA1438US		1

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
Check Credit card Money Other None				3. ADDITIONAL FEES					
Order Order				Large I	ntity	Small	Entity		
Deposit				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fac Baid
Account Number	06-0600			1051	130	2051		Surcharge - late filing fee or oath	Fee Paid
Deposit	Carr & Fer	roll LLD		1052	50	2052	25	Surcharge - late provisional filing fee or	<b></b>
Account Name	Call & Fel	reli LLP		ł				cover sheet	<del>                                     </del>
	s authorized to:	(check all that apply)		1053	130	1053		Non-English specification	<b></b>
Charge fee	(s) indicated belo	ow 🔽 Credit any o	verpayments	1812		1812		For filing a request for <i>ex parte</i> reexamination	<del></del>
Charge any	/ additional fee(s	) or any underpayment of	fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
		ow, except for the filing	fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-id	lentified deposit a			1251	110	2251	55	Extension for reply within first month	
		ALCULATION		1252	420	2252	210	Extension for reply within second month .	
1. BASIC F				1253	950	2253		Extension for reply within third month	
		ee Description	Fee Paid		1,480	2254	740	Extension for reply within fourth month	
. ,	Code (\$)			1255	•	2255		Extension for reply within fifth month	
1001 770	2001 385	Utility filing fee		1401	330	2401		, ,	
1002 340	2002 170	Design filing fee			-			Notice of Appeal	
1003 530	2003 265	Plant filing fee		1402	330 290	2402 2403		Filing a brief in support of an appeal  Request for oral hearing	<u> </u>
1004 770	2004 385	Reissue filing fee		1403	- i			•	
1005 160	2005 80	Provisional filing fee		1451 1452	110	1451 2452	,	Petition to institute a public use proceeding	
'	' sı	UBTOTAL (1) (\$)						Petition to revive - unavoidable	
2. EXTRA	CLAIM FEES	FOR UTILITY AND	REISSUE	1453		2453		Petition to revive - unintentional	
		Fee from Extra Claims below		1501 1502	480	2501 2502		Utility issue fee (or reissue)  Design issue fee	
Total Claims	-20**		]=	1503	640	2503		Plant issue fee	
Independent Claims	-3**	= X		1460	130	1460		Petitions to the Commissioner	
Multiple Depe	ndent		] =	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Large Entity	Small Entity			1806	180	1806		Submission of Information Disclosure Stmt	180.00
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		8021	40	8021		Recording each patent assignment per	
1202 18	2202 9	Claims in excess of 20		1809	770	2809		property (times number of properties) Filing a submission after final rejection	
1201 86	2201 43	Independent claims in e	excess of 3	1009	770	2008	, 363	(37 CFR 1.129(a))	
1203 290	2203 145	Multiple dependent clair	' '	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86	2204 43	** Reissue independent over original patent	caims	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9	** Reissue claims in extended and over original pate		1802	900	1802	900	• • •	
SUBTOTAL (2) (\$)				Other	fee (sp	ecify) _			
SUBTOTAL (2)  **or number previously paid, if greater; For Reissues, see above				*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 180.0	00

SUBMITTED BY			(Complete	(if applicable))
Name (Print/Type)	Charles B. Katz	Registration No. (Attorney/Agent) 36,564	Telephone	650-812-3476
Signature	Mark Mills		Date	February 18, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.